

## Chapter 1

- **conventions**

- $n$  is a positive integer
- $N = 2^n$  the number of binary strings of length  $n$ .  
(The number of  $n$ -bit binary strings).
- When convenient we think of an  $n$ -bit binary string as a positive integer via its base 2 representation.
- The  $k^{\text{th}}$  component of a vector  $\mathbf{a}$  will be denoted by either  $a_k$  or  $\mathbf{a}(k)$ . This is the coefficient of  $\mathbf{e}_k$  in the representation of  $\mathbf{a}$  with respect to the standard basis:  $\mathbf{a} = \sum_{k=0}^{N-1} a_k \mathbf{e}_k$ .

- **state** of our (quantum) system is a vector in  $\mathbb{R}^N$  (or  $\mathbb{C}^N$ ) of length 1 (a *unit vector*).

- Some examples in  $\mathbb{R}^4$ :  $\begin{pmatrix} 2/5 & 4/5 & 2/5 & 1/5 \end{pmatrix}^T$   $\begin{pmatrix} 4/9 & 6/9 & -2/9 & 5/9 \end{pmatrix}^T$
- An example in  $\mathbb{C}^4$ :  $\begin{pmatrix} 1-i/4 & 1+2i/4 & 2-i/4 & -2i/4 \end{pmatrix}^T$
- Another way to think of the computation of length:  
 $\|\mathbf{a}\|^2 = \mathbf{a}^* \mathbf{a} = \bar{\mathbf{a}}^T \mathbf{a}$

- **transformations** of our state will be multiplication by matrices that preserve length. These matrices are called *unitary*.

- An example of multiplication by a matrix  $M = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Observe by example this doesn't preserve length so  $M$  is *not* unitary.
- A way to see if  $U$  is unitary without checking  $\|U\mathbf{a}\| = \|\mathbf{a}\|$  for all  $\mathbf{a}$ .

**Claim:** A matrix  $U$  is unitary iff  $U^* = U^{-1}$ .

- \* Recall(?) that, for matrices,  $(UV)^T = V^T U^T$  and, for complex numbers,  $\overline{wz} = \bar{w}\bar{z}$  and  $\overline{w+z} = \bar{w} + \bar{z}$ .
- \* Conclude that, for matrices,  $(UV)^* = V^* U^*$ .
- \* Compute  $\|U\mathbf{a}\|^2 = \dots = \|\mathbf{a}\|^2$ .

We've shown that matrices satisfying  $U^* = U^{-1}$  are unitary. The other implication can be established with a little fiddling. We'll skip it.

**start:** with state vector equal to the basis vector  $\mathbf{e}_0$ .

**move:** repeatedly multiply state vector by unitary matrices.

Strangely, the input is encoded by the choice of unitary matrices.

**end:** *measure* final state  $\mathbf{a}$  getting  $n$ -bit binary string  $k$  with probability  $|\mathbf{a}(k)|^2$ .

This is where the output is. Note that there is a nonzero probability that we'll get a  $k$  that is incorrect. In this case we run the whole thing again. The idea is to choose *moves* that stack the deck in favor of getting the correct answer. Shor's factorization algorithm is a good example of how this works.

## Chapter 2

### Asymptotic Notation

We restrict our attention to functions  $f : \mathbb{N} \rightarrow \mathbb{N}$ . In particular, we don't have to worry about dividing by 0. Just for fun let

$$\begin{aligned} f(n) &= 1^2 + 2^2 + \dots + n^2 \\ &= (1/3)n^3 + (1/2)n^2 + (1/6)n \end{aligned}$$

Can prove this by induction.

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### Limits

$s(n) \sim t(n)$  if  $\lim_{n \rightarrow \infty} s(n)/t(n) = 1$

We say  $s(n)$  and  $t(n)$  are asymptotically equivalent.

**Ex.**  $f(n) \sim (1/3)n^3$

$s(n) = o(t(n))$  if  $\lim_{n \rightarrow \infty} s(n)/t(n) = 0$

We say  $s(n)$  is little-oh-of  $t(n)$ .

**Ex.**  $f(n) = o(n^4)$

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### Bounds

$s(n) = O(t(n))$  if there is a positive constant  $c$  so that  $s(n) \leq c \cdot t(n)$  for all  $n$ .

We say  $s(n)$  is Big-Oh-of  $t(n)$ .

Note that this means that  $s(n)/t(n)$  is *bounded away* from  $\infty$ .

**Ex.**  $f(n) = O(n^4)$

**Ex.**  $f(n) = O(n^3)$

**Ex.**  $f(n) = (1/3)n^3 + O(n^2)$       *This needs interpretation.*

$s(n) = \Omega(t(n))$  if there is a positive constant  $c$  so that  $s(n) \geq c \cdot t(n)$  for all  $n$ .

We say  $s(n)$  is Big-Omega-of  $t(n)$ . This is the same as  $t(n) = O(s(n))$ .

Note that this means that  $s(n)/t(n)$  is *bounded away* from 0.

**Ex.**  $f(n) = \Omega(1)$

**Ex.**  $f(n) = \Omega(n^3)$

$s(n) = \Theta(t(n))$  if  $s(n) = O(t(n))$  and  $s(n) = \Omega(t(n))$ .

We say  $s(n)$  is Big-Theta-of  $t(n)$ .

Note that this means that  $s(n)/t(n)$  is *bounded away* from both 0 and  $\infty$ . This is not as strong as " $\lim_{n \rightarrow \infty} s(n)/t(n)$  exists and is some positive number."

**Ex.**  $f(n) = \Theta(n^3)$

### Simple operations

Use the interpretation of Big-Oh in terms of sets of functions to explain (some of) the following.

$$\begin{aligned}s(n) &= O(s(n)) \\ c \cdot O(s(n)) &= O(s(n)) \quad \text{if } c \text{ is a positive constant} \\ O(s(n)) + O(s(n)) &= O(s(n)) \\ O(O(s(n))) &= O(s(n)) \\ O(s(n))O(t(n)) &= O(s(n)t(n)) \\ O(s(n)t(n)) &= s(n)O(t(n))\end{aligned}$$

### History

- O-notation is from P. Bachmann in 1894 (*Analytische Zahlentheorie*).
- o-notation is from E. Landau in 1909 (distribution of prime numbers).
- $\Omega$  and  $\Theta$  notations are from Knuth (*The Art of Computer Programming*).

## Chapter 3

### Summary

- We start with an  $n$ -bit string as input.
- Our state is a unit vector in an  $N = 2^n$  dimensional *Hilbert space*.
- Our transformations are  $N \times N$  *unitary* matrices.
- We want an *feasible* algorithm. By this we mean that its running time should be  $O(n^k)$  for some positive constant  $k$ . This is written, slightly inconsistently but conveniently, in the text as  $n^{O(1)}$ . (This is also called *polynomial time*.)
- To multiply a general  $N \times N$  unitary matrix times a general vector in  $N$ -dimensional space takes time  $O(N^2) = O(2^{2n}) \neq n^{O(1)}$ . So we have to be careful about which unitary matrices we use in our algorithms. That's the next item on the agenda:
- Find unitary matrices that are useful but lead to a feasible algorithm.

### 3.1 Hilbert Spaces

#### Recall Some Notation:

- (1)  $U[r, c]$  is the entry in row  $r$  and column  $c$  of  $U$ .
- (2)  $V$  is the *transpose* of  $U$  (written  $V = U^T$ ) if  $V[r, c] = U[c, r]$ .
- (3)  $V$  is the *adjoint* of  $U$  (written  $V = U^*$ ) if  $V[r, c] = \overline{U[c, r]}$ . Other names for the adjoint are *Hamiltonian conjugate* and *conjugate transpose*.
- (4) Hardly worth mentioning, but if  $U$  is real then  $U^T = U^*$ .
- (5) A (square) matrix  $U$  is *unitary* provided  $U^*U = I$ .

#### Inner Product

An  $N$ -dimensional vector space over  $\mathbb{R}$  (or  $\mathbb{C}$ ) is a *Hilbert space* if it has an *inner product*. Our vector spaces are *column spaces* and our inner product will always be the following standard one.

- (1) Definition:  $\langle \mathbf{a}, \mathbf{b} \rangle = \sum_k \overline{\mathbf{a}(k)} \mathbf{b}(k) = \mathbf{a}^* \mathbf{b}$
- (2) Properties:  $\langle \mathbf{b}, \mathbf{a} \rangle = \overline{\langle \mathbf{a}, \mathbf{b} \rangle}$ ,  $\langle \mathbf{a}, \mathbf{b} + \mathbf{c} \rangle = \langle \mathbf{a}, \mathbf{b} \rangle + \langle \mathbf{a}, \mathbf{c} \rangle$ ,  $\langle \mathbf{a}, \beta \mathbf{b} \rangle = \beta \langle \mathbf{a}, \mathbf{b} \rangle$
- (3) Relation to length:  $\langle \mathbf{a}, \mathbf{a} \rangle = \sum_k \overline{\mathbf{a}(k)} \mathbf{a}(k) = \sum_k |\mathbf{a}(k)|^2 = \|\mathbf{a}\|^2$
- (4) We say that two vectors are *orthogonal* (perpendicular) if  $\langle \mathbf{a}, \mathbf{b} \rangle = 0$ .
- (5) Multiplication by unitary matrices preserves the inner product:  
 $\langle U\mathbf{a}, U\mathbf{b} \rangle = \dots = \langle \mathbf{a}, \mathbf{b} \rangle$ .
- (6) For arbitrary matrices  $A$  and  $B$ ,  $(A^*B)[r, c] = \langle A[:, r], A[:, c] \rangle$ , i.e., the entry in row  $r$  and column  $c$  of  $A^*B$  is the inner product of column  $r$  of  $A$  and column  $c$  of  $B$ . Hence, unitary matrices have *orthonormal* columns.



“We will use  $\mathbb{H}_N$  to denote this space of dimension  $N$ .” No they don't, at least not consistently (see first sentence of Section 3.2 for example).